# CASE CO/21-21222/A/CPA 2/CONT 2

#### CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the dat shown below with sufficient postage as first elass mail in an envelope addressed to the: Assistant Commission r for Patents, Washington, D.C. 20231.

JAN 2 6 2004

Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Group Art Unit: 1714

**HUGH STEPHEN LAVER ET AL** 

Examiner: P. A. Szekely

**APPLICATION NO: 10/690,687** 

FILED: OCTOBER 22, 2003

FOR: STABILIZERS FOR POWDER COATINGS

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR 1.56, Applicants wish to call the Examiner's attention to the references cited on the attached forms PTO-1449. These references are of record in parent applications Nos. 09/017,082 and 09/872,928 filed February 2, 1998 and June 1, 2001 and copies are available therein.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 forms.

Respectfully submitted,

Kevin T. Mansfield

Agent for Applicants

Reg. No. 31,635

Ciba Specialty Chemicals Corporation Patent Department 540 White Plains Road P.O. Box 2005 Tarrytown, NY 10591-9005 (914) 785-7127 KTM/am/

Encl. PTO-1449 Forms

FORM PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION
(Use several sheets if necessary)

Docket Number (Optional)

CO/21-21222/A/CPA 2/CONT 2

Application Number 10/690,687

Applicant

**HUGH STEPHEN LAVER ET AL** 

Filing Date

Group Art Unit

OCTOBER 22, 2003

1714

### **U. S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE  | NAME           | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|------------------|-----------------|-------|----------------|-------|----------|-------------------------------|
|                  | 4,325,863       | 4/82  | Hinsken et al  | 624   | 111      |                               |
|                  | 4,338,244       | 7/82  | Hinsken et al  | 524   | 109      |                               |
|                  | 5,175,312       | 12/92 | Dubs et al     | 549   | 307      |                               |
|                  | 5,252,643       | 10/93 | Nesvadba       | 524   | 111      |                               |
|                  | 5,216,052       | 6/93  | Nesvadba et al | 524   | 108      |                               |
|                  | 5,369,159       | 11/94 | Nesvadba       | 524   | 111      |                               |
|                  | 5,356,966       | 10/94 | Nesvadba       | 549   | 302      |                               |
|                  | 5,367,008       | 11/94 | Nesvadba       | 524   | 111      |                               |
|                  | 5,428,162       | 6/95  | Nesvadba       | 544   | 221      |                               |
|                  | 5,428,177       | 6/95  | Nesvadba       | 549   | 304      |                               |
|                  | 5,488,117       | 1/96  | Nesvadba       | 549   | 302      |                               |

#### FOREIGN PATENT DOCUMENTS

|                 |       |         |       |          | Trans | lation |
|-----------------|-------|---------|-------|----------|-------|--------|
| DOCUMENT NUMBER | DATE  | COUNTRY | CLASS | SUBCLASS | YES   | NO     |
| 2069719         | 12/92 | Canada  |       |          |       |        |
|                 |       |         |       |          |       |        |
|                 |       |         |       |          |       |        |
|                 |       |         |       |          |       |        |
|                 |       |         |       |          |       |        |

# OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

Ullmann's Encyclopedia of Industrial Chemistry, 5th Completely Revised Ed., Vol. A18, pp. 438-444

Test descriptions according to "BYK Gardner" (5 pages)

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

JAN 2 6 2004

Sheet 2 of 2

|               | 77. |
|---------------|-----|
| FORM PTO-1449 | Ex  |

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket Number (Optional) CO/21-21222/A/CPA 2/CONT 2 Application Number 10/690,687

Applicant

HUGH STEPHEN LAVER ET AL

Filing Date

Group Art Unit

(Use several sheets if necessary)

OCTOBER 22, 2003 1714

# U. S. PATENT DOCUMENTS

| 0.0                                   |                 |       |                 |       |          |                |  |
|---------------------------------------|-----------------|-------|-----------------|-------|----------|----------------|--|
| EXAMINER<br>INITIAL                   | DOCUMENT NUMBER | DATE  | NAME            | CLASS | SUBCLASS | FILING DATE IF |  |
|                                       | 5,516,920       | 5/96  | Nesvadba et al  | 549   | 307      |                |  |
|                                       | 5,753,729       | 5/98  | Valet et al     | 524   | 100      |                |  |
|                                       | 4,173,658       | 11/79 | Bax et al       | 427   | 461      |                |  |
| · · · · · · · · · · · · · · · · · · · | 5,708,039       | 1/98  | Daly et al      | 427   | 461      |                |  |
|                                       | 5,847,057       | 12/98 | Kaplan et al    | 525   | 437      |                |  |
|                                       | 5,679,733       | 10/97 | Malik et al     | 524   | 100      |                |  |
|                                       | 4,755,602       | 7/88  | Ertl            | 524   | 100      |                |  |
|                                       | 5,597,854       | 1/97  | Birbaum et al   | 524   | 100      |                |  |
|                                       | 5,962,683       | 10/99 | Steinmann et al | 524   | 100      |                |  |
|                                       | 5,310,848       | 5/94  | Nazaki et al    | 528   | 45       |                |  |
|                                       |                 |       |                 |       |          |                |  |

# FOREIGN PATENT DOCUMENTS

|                     |      |         |       |          | Trans | lation |
|---------------------|------|---------|-------|----------|-------|--------|
| <br>DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | YES   | NO     |
|                     |      |         |       |          |       |        |
|                     |      |         |       |          |       |        |
|                     |      |         |       |          |       |        |
|                     |      |         |       |          |       |        |
|                     |      |         |       |          |       |        |

# OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

|  | <u> </u> |  |  |
|--|----------|--|--|
|  |          |  |  |
|  |          |  |  |
|  |          |  |  |
|  |          |  |  |

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.